



**The Institute of Internal Auditors- New York Chapter
invites you to attend:**

“Managing the Audit Function Leadership Symposium”

Presented by:

Michael P. Cangemi CPA, CISA President, Cangemi Company LLC

December 11, 2006

Time: 8:30 – 4:30
Place: Deloitte & Touche, 2 World Financial Center, 3rd Floor,
Meeting Rooms A & B
Directions: Take Subway E Train to World Trade Center Stop or the N or R
Train to Rector
Cost: \$ 235.00 Payable to the NY Chapter of the Institute of Internal
Auditors

CPE Credits: 7 upon completion of the symposium

Send your registration form along with your payment to Rich Dapcic, 20 Broad Street, 8th Floor, New York, NY 10005. Registration forms can also be e-mailed to Rich at RDapcic@nyse.com. If you have any questions you can contact Rich at 212-656-2131. The registration deadline is November 24th. ***Space is limited to the first 30 registrants.*** A registration form template and complete course outline follows:

**IIA NY Chapter
Special Event Registration Form
December 11, 2006**

Registrant Name	
Company	
Phone #	
Business Address	
Email Address	
Chapter Affiliation	
IIA Member	Yes No (circle one)

Please make your check payable to the NY Chapter of the Institute of Internal Auditors.

Managing the Audit Function Leadership Symposium

Where: New York, NY

When: December 11th 2006

The Managing the Audit Function Leadership Symposium is taught in a small class setting and is designed to help enhance your audit function and your career. My goal is to empower advancing managers and audit directors by giving them some new ideas and helping them address specific management issues they are facing now. In addition you will participate in discussions of crucial audit management and emerging issues with minimum time out of the office. Lecture sections are based on the experiences of the faculty and his successful book, "Managing the Audit Function", now in its third edition and recently published in Chinese! These lectures are supplemented by facilitation of round table discussions to create a shared learning experience. Each participant will receive an autographed copy of **Managing the Audit Function**.

Faculty

The facilitator, Michael P. Cangemi, has been a Chief Audit Executive and a partner in charge of Audit and IT practices at two accounting firms. In addition, as his career progressed he has been in key senior management positions including CIO, CFO, CEO, a Board member, an audit committee chair. This unique real life experience will give you another point of view on issues you face in the day to day management of your function! In addition Michael is an award winning author who has penned books and has been the Editor-in-Chief of IS CONTROL Journal since 1987. Writing his popular column, "Issues & Comments" keeps him at the forefront of emerging issues in the assurance and governance community.

As the lecturer and facilitator, Michael keeps the conversations lively; you will learn as much from your peers as you do during lecture and the small class size with a target of 25-30 ensures everyone has the opportunity to be heard. The interested manager will learn vital, up-to-date knowledge and skills required to supervise, manage and succeed.

Program Features

Small group size to improve the learning experience and encourage active participation

Program designed to address timely topics, emerging issues and your issues.

Networking -ample opportunities to expand your "network" and exchange ideas with peers.

CPE Credits - earn 7 CPEs for completion of the symposium.

Receive a personal copy of Managing the Audit Function - The course covers the most critical sections of the popular book, Managing the Audit Function, now in a third edition. A copy of the book will be provided to each participant.

Managing the Audit Function Leadership Symposium

Where: New York City

When: December 5th, 2006

Outline

Module 1 8:30 to 9 Introductions, Background, Goals & Objectives

Goals for this symposium:

Your personal development - Step aside from the vigor's of the daily routine and think about management issues. Strengthen your leadership skills & vision.

Address your organizations most pressing issues and discuss with faculty and peers.

Module 2 9 to 9:45

Lecture -The real purpose of Governance - Capital Formation; Bubble Markets and Frauds lead to governance, but what does management think!

Module 3 9:45 to 10:15

What is a business and what is management?
Business is difficult, customers lead the way.
(Seabiscuit Film clip)

Module 4 10:15 to 11am

Discussion of emerging **issues: What are the issues facing you as a manager and your Audit Function today!!!!**

Break out group: (Leader and Scribe page 215)

What are the emerging or "around the bend" issues for IA?

Module 5 11 to 11:30

Elements of a world class audit function: **Management & Administration**
People, procedures, and finding significant issues and positive deliverables

**Module 6 11:30 to 12 Audit Methodology Part one
Planning process and time reporting**

12 to 1 PM Lunch

**Module 7 1 to 1:30 Audit Methodology Parts two & three
Audit Performance Process
Audit Reporting process**

Module 8 1:30 to 2pm Long term Effectiveness
Managing the effectiveness of the audit function:
Quality Assurance
Continuous Improvement

**Module 9 2 to 2:30 Interacting with your senior management
and the audit committee.**

**Module 10 2:30 to 3pm Beyond SOX 404 – Creating Positive Deliverables
Marketing the audit function
Flextime**

Coffee 3 pm to 3:15

Module 11 **3:15 to 4pm** New areas of focus

Employee Retention
Focus on Fraud
Focus on Continuous Audit

Module 12 **4 to 4:30** Networking, why and how and discussions
Closing thoughts and discussions

Goals for this symposium:

Your personal development, so step aside from the vigor's of the daily routine, and think about management issues. Strengthen your leadership skills & vision.

Address your organizations most pressing issues and discuss with faculty and peers

Testimonials

Excellent Seminar –encompassed the advertised subject matter and so much more. (I) would attend more lectures by Mr. Cangemi. Andrew Madejczyk, Vice President, FreshDirect

Good general information – well polished speaker. I felt I got my money's worth. One thing you can't get in a book is attitude toward the job, and Michael presented it well, especially the tips! Michael Barnes, Manager, Novartis Audit, Novartis Corporation

Contact Information : canco.us

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